

EXCEPTION TRACKING

ESTABLISH A CONSISTENT
PROCESS FOR MANAGING RISK

The Baker Hill NextGen® Exception Tracking solution supports the portfolio management stage of the relationship cycle.

This solution provides a flexible framework to securely monitor document, financial statement, policy, compliance, and exception management across lines of business.

Integrate Risk Management at Every Level

Baker Hill NextGen® Exception Tracking consolidates portfolio monitoring into a common, centralized database and standardizes tracking and monitoring across lines of business.

With this solution, you can:

- Create unique views and checklist templates to meet departmental needs through configuration and use of institution-defined fields
- Control access to data based on a user's role and security profile within each line of business
- Build checklists quickly from a predefined list of tracking items
- Ensure that complete and relevant information is gathered. Best practice templates can be selected by product family and associated line of business to manage unlimited tracking item families
- Import data daily, so the centralized database of information from disparate sources becomes a reliable resource for satisfying critical management reporting needs



WHY EXCEPTION TRACKING?

Mitigate risk with a secure, comprehensive solution for managing exceptions across all lines of business.

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Explore how your institution can manage risk with Baker Hill NextGen® Exception Tracking. To learn more, visit bakerhill.com/exceptiontracking.

Maximize Portfolio Processing

- Common interface makes it easy to review products, collateral and tracking items
- Streamlined interface allows you to select and manage multiple tracking items at one time
- Eleven predefined interface overlays covering real estate, securities, and intangible assets help to support unlimited collateral types

Improve Credit Quality and Compliance

- Checklists segmented by line of business, product type, and collateral type enhance adherence to credit policy
- The system identifies insurance and UCC expirations before they occur and provides an online notification
- Regulatory or institution-initiated policy changes are maintained in one location, simplifying ongoing management and ensuring compliance

Centralize Reporting

- Standard reports identify tracking items that have expired or are about to expire
- Custom report authoring environment allows users to filter and modify reports
- Report access is secured at the user, business unit, and role levels

Promote Proactive Relationship Management

- Exceptions are presented to relationship managers on their home page
- Users can filter exceptions based on specific criteria to prioritize and work all exceptions with a single click
- Account holder correspondence may be automatically generated
- Correspondence manager facilitates mass or individual communications, which can be handled via print mail
- System records date and type of correspondence sent

Integrate with Sales Automation and Origination

- Support multiple lines of business with a single database
- Minimize data redundancy and eliminate unnecessary requests for information from the account holder
- Streamline the account holder management process

Baker Hill empowers financial institutions to work smarter, reduce risk and drive more profitable relationships. The company delivers a single unified platform with modern solutions to streamline loan origination and portfolio risk management for commercial, small business and consumer lending. The Baker Hill NextGen® platform also delivers sophisticated analytics and marketing solutions that support sound business decisions to mitigate risk, generate growth and maximize profitability. Baker Hill is the expert solution for loan origination, portfolio risk and relationship management, CECL, and analytics for financial institutions in the United States. For more information, visit www.bakerhill.com.

